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02-25-02

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

APPLICATION ELEMENTS		ADDRESS TO:	Commissioner of Patents and Trademarks Box Patent Application Washington, DC 20231
See MPEP chapter 600 concerning utility patent application contents			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>		8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>		[Total Pages: 29]	a. <input type="checkbox"/> Computer Readable Form (CRF)
-Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Description of the Drawings (if filed) -Detailed description -Claim(s) -Abstract of the Disclosure		b. <input type="checkbox"/> Specification Sequence Listing on:  i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or  ii. <input type="checkbox"/> paper	
		c. <input type="checkbox"/> Statements verifying identity of above copies	
<b>ACCOMPANYING APPLICATION PARTS</b>			
4. <input checked="" type="checkbox"/> Drawings(s) (35 U.S.C. 113) [Total Sheets 8]		9. <input checked="" type="checkbox"/> Assignment Papers ((cover sheet & document(s))	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3]		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input checked="" type="checkbox"/> Power of Attorney by Assignee	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>		11. <input type="checkbox"/> English Translation Document (if applicable)	
i. <input type="checkbox"/> <b><u>DELETION OF INVENTOR(S)</u></b> <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i>		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR. 1.76.		13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. <i>Express Mail Certificate; Check in the amount of \$452.00.</i>	
		17. <input checked="" type="checkbox"/> Other: _____	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part      of prior acceptance No.: \_\_\_\_\_ / \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## CORRESPONDENCE ADDRESS

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4

Exm

NAME (Print/Type)	Ronald L. Yin	Registration No. (Attorney/Agent)	27,607
Signature		Date	February 20, 2002

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**+**

PTO/SB/05 (08-00)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

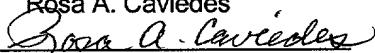
Express Mail Label Number: EL 904 946 505 US

I hereby certify that this Utility Patent Application is  
being deposited with the United States Postal Service  
"EXPRESS MAIL Post Office to Addressee" service under 37  
CFR 1.10 on the date indicated above and is addressed to  
Box Patent Application, Commissioner of Patents &  
Trademarks; Washington, DC 20231.

Rosa A. Caviedes

February 20, 2002

Date



Signature

U.S. PATENT AND TRADEMARK OFFICE

COPY

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No. 2100752-991030
Applicant(s): Johal et al.			
Serial No. Not yet assigned	Filing Date February 20, 2002	Examiner Not yet assigned	Group Art Unit Not yet assigned
Invention: <b>METHODS AND SYSTEMS FOR USING DISTRIBUTED BUSINESS DATA USING OBSERVATION TECHNOLOGY TO AVOID THE NEED TO INTEGRATE SERVERS AND CLIENTS</b>			
I hereby certify that this <u>Fee Transmittal for FY 2002 (1 pg.); Utility Application Transmittal Letter (2 pgs.); Utility Patent Application (29 pgs.) with 8 sheets of Informal Drawings; Declaration for Patent Application- Signed (3 pgs.); Recordation Cover Sheet w/Assignment - (5 pgs.); Power of Attorney by Assignee - Signed (2 pgs.); Check for \$452.00; and Return Post Card.</u>			
(Identify type of correspondence)			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner of Patents and Trademarks, Washington, D.C., 20231-0001 on <u>February 20, 2002</u> <small>(Date)</small>			
<u>Rosa A. Caviedes</u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small>			
 <small>(Signature of Person Mailing Correspondence)</small>			
<u>EL 904 946 505 US</u> <small>("Express Mail" Mailing Label Number)</small>			
Note: Each paper must have its own certificate of mailing.			
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 452.00)

## Complete if Known

Application Number	Not yet assigned
Filing Date	February 20, 2002
First Named Inventor	Johal et al.
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	2100752-991030

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	07-1896
Deposit Account Name	Gray Cary Ware & Freidenrich LLP

Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check in the amount of \$ 452.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 40.00)**

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY:			
Name (Print/Type)	Ronald L. Yin	Registration No. (Attorney/Agent)	27,607
Signature	Ronald L. Yin	Date	February 20, 2002